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## FAX COVER SHEET

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Date:

December 30, 2005

From:

Stephen S. Rabinowitz

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Number of Pages (including cover sheet): 6

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Office of Initial Patent

U.S Patent and

(571) 273-8300

Examination

Trademark Office

Comments: Application No. 10/603,501 by FRANANO

- (1) Corrected Request for updated filing receipt (2 pages)
- (2) Marked up copy of previously issued filing receipt (1 page)
- (3) Copy of § 17 of Transmittal Letter dated September 24, 2003 (2 pages)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: FRANANO

Confirmation No.: 3974

Serial No.:

10/603,501

Art Unit: 1651

Filed:

June 24, 2003

Examiner: Afremova, Vera

For:

METHODS FOR ENLARGING

Attorney Docket No.: 31110-0003

THE DIAMETER OF AN

ARTERY OR VEIN IN A **HUMAN SUBJECT** 

## CORRECTED REQUEST FOR UPDATED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant respectfully requests an updated filing receipt reflecting the amended title of this application, the mailing address of Applicant's current representative, the new docket number, and the domestic priority claimed by Applicant, and to correct a typographical error in the inventor's name.

The title of the invention was amended in the Reply filed on September 30, 2005 from (old title): SYSTEMS AND METHODS FOR OPENING OBSTRUCTED BIOLOGICAL CONDUITS to (amended title): METHODS FOR ENLARGING THE DIAMETER OF AN ARTERY OR VEIN IN A HUMAN SUBJECT.

### CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this paper is being filed with the United States Patent and Trademark Office by facsimile transmission on December 30, 2005 to facsimile telephone number (571) 273-8300.

Stephen S. Rabinowitz (Reg. No. 40,286)

The mailing address of Applicant's representative, following the Change of Correspondence Address filed on December 27, 2005, is the address associated with Customer Number 42477, namely:

Fried, Frank, Harris, Shriver & Jacobson LLP One New York Plaza New York, New York 10004.

(Please note that in the Request For Corrected Filing Receipt submitted on December 29, 2005 the zip code was mistyped as  $100\underline{1}4$ ).

The new docket number is: 31110-0003.

The inventor's name is "F. Nicholas Franano" but was mistyped by Applicant's representative in the transmittal sheet as "Nicholas F. Franano" and appears on the previously issued filing receipt as "Nicholas Franano".

This application claims the benefit of Nonprovisional Application No. 09/669,051, filed September 24, 2000 and of Provisional Application No. 60/155,938, filed September 24, 1999 by virtue of an amendment to the specification, dated September 24, 2003, which specifically referenced those earlier-filed applications. See § 17B of the Transmittal Letter dated September 24, 2003. (For the convenience of the Office, a copy of § 17 of the Transmittal Letter is attached hereto.)

A copy of the previously issued filing receipt is attached with the changes noted thereon.

Respectfully submitted,

Date: December 30, 2005

Stephen S. Rabinowitz

40.286

(Reg. No.)

FRIED, FRANK, HARRIS, SHRIVER

& JACOBSON LLP

One New York Plaza New York, New York 10004

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UDPTU. 'TO: Stephen Rabinowitz COMPANY:

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FILING OR 371 APPL NO. TIRLY TRA FIL FEE REC'D ATTY, DOCKET NO DRAWINGS TOT GLMS DOD CLMS (c) DATE 39 10/603.501 06/24/2003 1651 842 <del>65226 CON (47703)</del>-

31110-0003

CONFIRMATION NO. 3974

-EDWARDS & ANGELL, LLP-P.O. BOX 9169 BOSTON, MA 02209

**FILING RECEIPT** \*OC000000011997634\*

FRIED. FRANK, HARRIS, SHRIVER & JAKOBSON LLP One New York Plaza New York. NY 10004

Date Mailed: 03/01/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facelmile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USFTO processes the reply to the Notice, the USPTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate),

Applicant(s)

Nichelas Frenano, Kansas City, MO;

F. Mcholas Francino

Domestic Priority data as claimed by applicant

Continuation of 09/669,051 09/24/2000, This apply claims benefit of 60/155,938 89/24/1999

Foreign Applications

If Required, Foreign Filing License Granted: 03/01/2004

Projected Publication Date: 12/30/2004

Non-Publication Request: No

Early Publication Request: No

" SMALL ENTITY "

Title

Systems and methods for opening obstructed biological conduits.

Methods for enlarging the drameter of an attry or vein

Preliminary Class

in a human sugret.

424

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Practitioner's Docket No. 55225-C (71699) PATENT

### ADDED PAGES FOR AFFLICATION TRANSMITTAL WHERE HEREFIT OF PRIOR U.S. AFFLICATION(S) CLAIMED

NOTE: See 37 CFR 1.78.

#### 17. Ralate Back

WARDAING: If an application elains the benefit of the filing date of an earlier filed application under 35 U.S.C. 120, 121 or 365(c), the 20-year term of that application will be bound upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. 120, 121 or 365(c), (33 U.S.C. 134(a)(2) does not take tota account, for the determination of the potent term, any application on which priority is claimed under 35 U.S.C. 119, 343(a) or 365(b).) For a c-t-p application, applicant should review whether any claim in the patent that will time is supported by an earlier application and, if not, the applicant should consider consoling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Hotics of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.

(complete the following, if applicable)

[X] Amend the specification by inserting, before the first line, the following sentence:

### A. 35 U.S.C. 119(e)

NOTE: "Any nonprovisional application claiming the benefit of one or more prior filed copending provisional applications must contain or be annualed to contain to the first sentence of the specification following the title or reference to each such prior provisional application, identifying it at a provisional application, and including the provisional application number (constaining of series code and series mustber)." 37 C.P.R. § 1.78(a)(4).

[ ] "This application claims the benefit of U.S. Provisional Application(s) No(s).:

APPLICATION NO(8).:		FILING DATE		
B. 35	U.S.C, 129, 121 and 365(c)			
NOTE:	"Except for a continued procession application filed under § 1, handle of otes or more prior filed capaciting accurrentional application for for an analysis of America were contain or by analysis to contain to a reference to each such prior application, identifying it by applications, or international applications Cross-references to other related applications in C.F.R. § 1.78(a)(2).	ionians or himmedianal applications designating the the first sentence of the apartification following the title filter number (caucising of the series code and serial filter data and individual to mentionable of the		
[X]	This application is a			
	DCI continuation	•		

(Added Pages for Application Transmittal White Benefit of Prior U.S. Application(s) Claimed—page 1 of 5)

	[ ] continuation-in-part		BEST	AVAILABLE (	COP	
	[ ] divisional					
of	copending application(s)	100	lie .			
[]	application number09/6	69.051	· · · · · · · · · · · · · · · · · · ·			
[]	International Application	filed on	and which desig	mated the U.S."		
NOTE:	The proper reference to a prior fil- the filing date of the PCT application	d PCT application the a that designated the	er endered the U.S. nest U.S.	nam lares &V est si esado banc	be esi	
NOTE:	(1) Where the application being tra- a continuation-in-part or (2) (*it is a	epaited galds subject lastral to do so for of	matter to the Intervelle her reasons then the fill	nel Application, then the filing a og can be ar a continuation.	in be as	
NOTE:	The damline for extering the national phase in this U.S. for in international application was clarified in the Notice of April 28, 1987 (1079 C.G. 32 to 46) as follows:					
	"The Passet and Trademark Office considers the International application to be pending until the 22nd month from the priority date if the United States has been designated and no Demand for International Proliminary Examination has been filed prior to the expiration of the 19th month from the priority date and until the 32nd month from the priority date if a Demand for International Proliminary Examination which alocaed the United States of America has been filed prior to the expiration of the 19th month from the priority date, provided that a copy of the international application has been communicated to the Patent and Trademark Office within the 20 or 30 month paired respectively. If a copy of the international application becomes observant and Trademark Office within the 20 or 30 month paired respectively, the international application becomes observant as to the United States 20 or 30 months priority date respectively. These periods have been placed in the rules as puragraph (h) of § 1.494 and paragraph (l) of § 1.495. A continuing application under 35 U.S.C. 365(c) and 120 may be filed anytime during the pandemay of the International application."					
įχ	"The nonprovisional application of 1669.051 file Provisional Application (a) N	d <u>Septemb</u> e	ove, namely applica to 24, 2000		of U.S.	
APPLI	ICATION NO(5).:		•	FILING DATE		
60 / 15	5.938	•	_	September 24, 199	22 <u>"</u>	
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